

## Lecture 11 (1/28/22)

Return to complex analysis:

Space of analytic functions.

Given  $G \subseteq \mathbb{C}$  open,  $H(G)$  denotes the space of analytic (holomorphic) functions in  $G$ . Clearly,  $H(G) \subseteq C(G, \mathbb{C})$ .

(Note: In literature,  $\mathcal{O}(G)$  is often used.)

Thm1. If  $\{f_n\}_n$  is a seq. in  $H(G)$  s.t.

$f_n \rightarrow f$  in  $C(G, \mathbb{C})$ , then  $f \in H(G)$ .

Moreover,  $f_n^{(n)} \rightarrow f^{(n)}$  for all  $n$ .

Pf.  $f_n \rightarrow f$  in  $C(G, \mathbb{C}) \Leftrightarrow f_n \rightarrow f$

unif. on compact  $\Rightarrow f$  is analytic by Morera and Cauchy's Thm. DIY.

Similarly,  $f_n^{(n)} \rightarrow f^{(n)}$  for all  $n$  by Cauchy's estimate (or formula) as follows:

Let  $K \subset G$ , and  $\delta = (K, C \setminus G) > 0$ .  
 Let  $U = \bigcup_{z \in K} B(z, \delta/2)$ . Then  $K \subset U$ ,

$$\bar{U} \subset G, d(K, C \setminus \bar{U}) = \delta' \geq \delta/2$$

By Cauchy's Estimate in  $\bar{U}$ :

$$\sup_K |f^{(n)} - f^{(u)}| \leq \frac{n!}{(\delta')^n} \sup_{\bar{U}} |f - f_{kj}|.$$

Since  $f - f_{kj} \rightarrow 0$  unif. on  $\bar{U}$ ,  $f^{(n)} - f_{kj} \rightarrow 0$  unif. on  $K$ .  $\Rightarrow f_{kj}^{(n)} \rightarrow f^{(u)}$  in  $C(G, C)$ . □

Cort.  $H(G)$  is closed in  $C(G, C)$ .  
 $(\Rightarrow (H(G), \rho) \text{ is complete metric space.})$

Thus:  $f_n \rightarrow f$  in  $H(G) \Leftrightarrow f_n \rightarrow f$  unif. on compact  $K \subset G$ .

An interesting phenomenon: region

Hurwitz Thm. Suppose  $f_n \rightarrow f \neq 0$  in  $H(G)$ .

Let  $\overline{B(z_0, r)} \subset G$  and  $f \neq 0$  on  $|z - z_0| = r$ .

Then  $\exists N$  s.t.  $f_n$  has the same # of zeros in  $B(z_0, r)$  as  $f$ .

Pf: By Argument Principle, # zeros of  $f$  in

$$B(z_0, r) = m = \frac{1}{2\pi i} \int_{|z-z_0|=r} \frac{f'(z)}{f(z)} dz.$$

$$|z-z_0|=r$$

Since  $f_n \rightarrow f$  in  $H(G)$ ,  $f_n' \rightarrow f'$  also.

In particular,  $f_n \rightarrow f$ ,  $f_n' \rightarrow f'$

unif. on  $K = \{|z - z_0| = r\}$ . For  $n$  large

enough,  $f_n \neq 0$  on  $K$  and hence

$$\frac{f'_n}{f_n} \rightarrow \frac{f'}{f} \text{ unif. on } K. \Rightarrow$$

$$\frac{1}{2\pi i} \int_{|z-z_0|=r} \frac{f'_n}{f_n} dz \rightarrow \frac{1}{2\pi i} \int_{|z-z_0|=r} \frac{f'}{f} dz = m.$$

But  $\frac{1}{2\pi i} \int_{|z-z_0|=r} \frac{f_n'}{f_n} dz = \# \text{zeros of } f_n$

in  $B(z_0, r)$ ; an integer. Thus,  $\exists N$

s.t.  $\frac{1}{2\pi i} \int_{|z-z_0|=r} \frac{f_n'}{f_n} dz = m$  for  $n \geq N$ .

Q.E.D.

Normal families in  $H(G)$ .

Def. ① A family  $\mathcal{F}$  in  $H(G)$  is locally bounded if  $\forall z_0 \in G \exists r > 0$  s.t.  
 $\overline{B(z_0, r)} \subset G$  and  $M$  s.t.  $|f(z)| \leq M$ ,  
 $\forall z \in \overline{B(z_0, r)}, \forall f \in \mathcal{F}$ .

Montel Thm Let  $\mathcal{F} \subseteq H(G)$ . Then,  $\mathcal{F}$  is normal  $\Leftrightarrow \mathcal{F}$  is locally bdd.

Pf. Recall from AA Thm w/  $S^1 = \mathbb{C}$ .

(i)  $\forall z \in G, \{f(z) : f \in \mathcal{F}\} \subset \mathbb{C}$ .

(ii)  $\forall z \in G, \mathcal{F}$  is equicont. at  $z$ .

Montel will follow from AA by showing

(i)+(ii)  $\Leftrightarrow$  locally bdd:

" $\Rightarrow$ ". Fix  $z_0 \in G$ . (i)  $\Rightarrow \exists M' \text{ s.t. } |f(z_0)| \leq M'$ ,  
 $\forall f \in \mathcal{F}$ . (ii)  $\Rightarrow \exists r > 0$  s.t.  $|f(z) - f(z_0)| < 1$   
for  $|z - z_0| < r$ .  $\Rightarrow |f(z)| \leq M' + 1 = M$  for  
 $|z - z_0| < r$ .  $\Rightarrow \mathcal{F}$  is locally bdd.

" $\Leftarrow$ ". Locally bdd  $\Rightarrow$  (i) trivially. We  
claim that  $\mathcal{F}' = \{f': f \in \mathcal{F}\}$  is also  
locally bdd. This follows easily from the  
Cauchy Estimates as in pf of Thm 7. Let  
 $\overline{B(z_0, r)} \subset G$ ,  $M > 0$  s.t.  $|f(z)| \leq \underline{M}$ ,  
 $z \in \overline{B(z_0, r)}$ ,  $f \in \mathcal{F}$ . Then, for  $z \in B(z_0, r/2)$ ,  
 $|f'(z)| \leq \frac{M}{r/2}$  by C.E., i.e.  $\mathcal{F}'$  locally  
bdd. To see that (ii) holds, note  
that  $|f(z) - f(z_0)| \leq \sup_{B(z_0, r/2)} |f'| \cdot |z - z_0|$   
 $\leq 2M |z - z_0|$   
 $\Rightarrow$  equicont. at  $z_0$ . This completes pf.  $\square$

